



## UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

 COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov


Bib Data Sheet

CONFIRMATION NO. 4972

<b>SERIAL NUMBER</b> 09/966,845	<b>FILING DATE</b> 09/28/2001 <b>RULE</b>	<b>CLASS</b> 705	<b>GROUP ART UNIT</b> 2162	<b>ATTORNEY DOCKET NO.</b> 065027.0103
------------------------------------	---	---------------------	-------------------------------	---

## APPLICANTS

 Barbara J. Boe, Dallas, TX;  
 Julia M. Hamrick, Dallas, TX;  
 Marjorie L. Aarant, Dallas, TX;

## \*\* CONTINUING DATA \*\*\*\*\*

THIS APPLICATION IS A REI OF 09/162,825 09/29/1998 PAT 6,236,975

## \*\* FOREIGN APPLICATIONS \*\*\*\*\*

IF REQUIRED, FOREIGN FILING LICENSE GRANTED \*\* SMALL ENTITY \*\*

\*\* 11/05/2001

 RECEIVED  
 JUN 13 2002

GROUP 3600

Foreign Priority claimed <input type="checkbox"/> yes <input type="checkbox"/> no	<b>STATE OR COUNTRY</b> TX	<b>SHEETS DRAWING</b> 15	<b>TOTAL CLAIMS</b> 87	<b>INDEPENDENT CLAIMS</b> 13
35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged Examiner's Signature _____ Initials _____				

## ADDRESS

05073

## TITLE

System and method for profiling customers for targeted marketing

<b>FILING FEE RECEIVED</b> 1206	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees
		<input type="checkbox"/> 1.16 Fees ( Filing )
		<input type="checkbox"/> 1.17 Fees ( Processing Ext. of time )
		<input type="checkbox"/> 1.18 Fees ( Issue )
		<input type="checkbox"/> Other _____
		<input type="checkbox"/> Credit

ATTORNEY DOCKET NUMBER  
065027.0103

COPY OF PAPERS  
ORIGINALLY FILED

PATENT APPLICATION  
09/966,845



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

RECEIVED  
JUN 10 2002  
Technology Center 2100

In re Application of: Barbara J. Boe, et al.  
Serial No.: 09/966,845  
Filing Date: September 28, 2001  
Confirmation No.: 4972  
Title: SYSTEM AND METHOD FOR PROFILING CUSTOMERS  
FOR TARGETED MARKETING

Office of Initial Patent Examination's Customer  
Service Center  
Assistant Commissioner of Patents  
Washington, D.C. 20231

Dear Sir:

RECEIVED  
JUN 13 2002  
GROUP 3600

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Office of Initial Patent Examination's Customer Service Center, Assistant Commissioner of Patents, Washington, D.C. 20231, on the date shown below.

J. J. [Signature]  
Name  
5/7/02  
Date

NOTIFICATION OF OFFICIAL FILING RECEIPT ERRORS

Errors have been noted on the attached copy of the Official Filing Receipt received from the U.S. Patent and Trademark Office in the above-identified patent application.

There is an error with respect to the following data, which is:

X incorrectly entered

and/or

       omitted.

1.        Applicant's name

ATTORNEY DOCKET NUMBER  
065027.0103

PATENT APPLICATION  
09/966,845

2

2. \_\_\_ Applicant's address

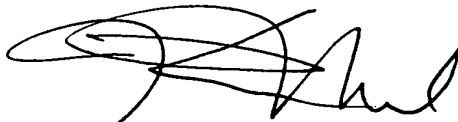
3. X Title

4. \_\_\_ Continuing Data

Please delete "maketing" and insert ~~MARKETING~~.

Respectfully submitted this 7<sup>th</sup> day of May, 2002.

BAKER BOTTS L.L.P.  
Attorneys for Applicants



Kevin J. Meek  
Reg. No. 33,738

Baker Botts L.L.P.  
2001 Ross Avenue, Suite 600  
Dallas, Texas 75201-2980  
(214) 953-6680  
(sds)



**UNITED STATES PATENT AND TRADEMARK OFFICE**

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/966,845	09/28/2001	2162	1206	065027.0103	15	87	13

CONFIRMATION NO. 4972

## FILING RECEIPT



\*OC000000007483060\*

05073  
BAKER BOTTS L.L.P.  
2001 ROSS AVENUE  
SUITE 600  
DALLAS, TX 75201-2980

Date Mailed: 02/15/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Barbara J. Boe, Dallas, TX;  
Julia M. Hamrick, Dallas, TX;  
Marjorie L. Aarant, Dallas, TX;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A REI OF 09/162,825 09/29/1998 PAT 6,236,975

RECEIVED

JUN 13 2002

## Foreign Applications

GROUP 3600

If Required, Foreign Filing License Granted 11/05/2001

Projected Publication Date: Not Applicable

Non-Publication Request: No

COPY OF PAPERS  
ORIGINALLY FILED

Early Publication Request: No

\*\* SMALL ENTITY \*\*

## Title

System and method for profiling customers for targeted MARKETING marketing

## Preliminary Class

Docket ☒ Wrapper ☒

RVF Docketed \_\_\_\_\_

Reference(s) \_\_\_\_\_

705

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).